Bespoke Portfolio Service

Combined Accounts Confirmation Form

Suitability Responsibility: Professional Adviser

BROOKS MACDONALD

Combined Accounts confirmation form

This form should be used by clients who wish to have their Account(s) managed on a combined basis and should be completed alongside the relevant Bespoke Portfolio Service Application Pack(s).

Your Professional Adviser is responsible for:

- collecting information about your financial position
- carrying out a Suitability assessment for the BPS
- advising you on Investment Objectives and Risk Profile

Brooks Macdonald is responsible for:

- creating and managing your Combined Account Portfolio on a discretionary basis in accordance with your Investment Objectives and Risk Profile

Where you have indicated that you wish us to manage your assets as part of a Combined Account it is important to understand that the investment profile chosen in your Application Pack and reconfirmed here will be applied to the Combined Account which will be managed as a whole. Your individual portfolio, when viewed in isolation, may not meet the Combined Account's Investment Objectives, Risk Profile or time horizon.

All parties must complete this form and sign the declaration at the end of the form to confirm this arrangement. **All Accounts that are being combined must have completed Application Packs with the same investment profile – which must match the investment profile below.** Please note that your ISA will always be combined with your main individual Account unless you have specifically chosen a different investment profile for it.

Please set out below all the Accounts that you wish to combine:

First client:

Client name:

Accounts you wish to combine: ☐ Individual ☐ Joint ☐ SIPP ☐ Offshore Bond

Other:

Are these current Brooks Macdonald Account(s): ☐ Yes ☐ No

If yes, please detail the Account number(s):

Second client:

Client name:

Accounts you wish to combine: ☐ Individual ☐ Joint ☐ SIPP ☐ Offshore Bond

Other:

Are these current Brooks Macdonald Account(s): ☐ Yes ☐ No

If yes, please detail the Account number(s):

Relationship to other participants (*e.g. husband, wife*):

Combined Accounts investment profile

Please record below the investment profile for your Combined Account by choosing one Investment Objective, Risk Profile and time horizon. This must be the identical investment profile chosen by each Combined Account member. Please refer to the Application Pack and the Client Investing Guide for further details about your investment profile.

Combined Account's Investment Objective:

☐ Income ☐ Growth ☐ Income & Growth

Combined Account's Risk Profile:

☐ Low Risk

☐ Low/Medium Risk

☐ Medium Risk

☐ Medium/High Risk

☐ High Risk

Combined Account's time horizon:

☐ 3 – 5 years

☐ 5 – 10 years

☐ More than 10 years

Combined Account risk warning: Where it is managed as part of a Combined Account, your individual portfolio may contain more higher risk or lower risk investments than it would if it was managed separately. Investment gains and losses will not be distributed evenly across all portfolios within the Combined Account.

Any Account that is part of a Combined Account could contain more illiquid investments than it would if it was managed separately. It could also contain more illiquid investments than other portfolios forming part of the Combined Account.

Brooks Macdonald Terms and Conditions (Terms): Brooks Macdonald's standard Terms shall apply to the management of any portfolio forming part of a Combined Account; however, Clause 4.4 in the Terms shall be read and interpreted as though the words 'Combined Account' appeared in place of the words 'BPS Portfolio'.

If you have any questions relating to the Application Pack you should contact your Professional Adviser.

Important: This Combined Accounts confirmation form should only be returned to Brooks Macdonald once all sections have been completed, including those sections to be completed by the Professional Adviser.

Declarations and authority

I/We acknowledge that:

1. Brooks Macdonald will manage my/our investments as part of a Combined Account in accordance with the Brooks Macdonald Terms, as amended by this form
2. the investment profile you choose in this Combined Accounts Confirmation form will be applied to the Combined Account which will be managed as a whole. Accounts that participate in the Combined Account do not have any investment profile of their own. Individual portfolios, when viewed in isolation, may not meet the Combined Account's Investment Objectives, Risk Profile or time horizon
3. the information provided in this form is true and accurate to the best of my/our knowledge and belief
4. my/our Professional Adviser will be responsible for carrying out the Suitability functions in relation to the Combined Account (where indicated in my/our BPS Application Pack)

First client

Signature: Date:

Full name:

Second client

Signature: Date:

Full name:

The Professional Adviser is required, as part of the legal agreement between Brooks Macdonald and the Professional Adviser, to confirm the following:

- I have carried out anti-money laundering identification and verification to the standards required by the Money Laundering Regulations 2017
- I have been appointed by the client as their Professional Adviser
- I have carried out an assessment of the Suitability of the BPS for the client in accordance with applicable requirements
- I have discussed the Combined Account with the client and advised them based on their Investment Objectives and Risk Profile
- I have full and continuing authority from the client in respect of any instructions given by me in the context of my agreement with the client

Professional Adviser

Signature: Date:

Full name:

Firm name:

FCA reference number:

(TC874.6-R)