

Request for an AIM investment portfolio report

*Indicates required fields

Adviser name*:

Adviser firm*:

Tell us about your client(s)* **Client 1**

Client 2

Name & title or company name:

Date of birth:

Marital status:

Employment status:

Is the client a US citizen? Yes No Yes No

Is the client a UK resident for tax purposes? Yes No Yes No

Will the account be operated via a Power of Attorney? Yes No Yes No

What type of account do you wish to open?

Individual investment account

Joint investment account

ISA

Trust

Name of Trust (if applicable):

Brooks Macdonald fee option

N.B. You may tick both options for a fee comparison in the report, but only one fee option must be ticked in the client application form.

Option 1: Annual Management Charge plus dealing

Option 2: Increased Annual Management Charge with no additional dealing charges

Suitability assessment

The client(s) wishes to hold a portfolio of AIM shares to qualify for IHT relief:* Yes No

Suitability assessment carried out by FCA Registered Professional Adviser:* Yes No

High risk has been deemed a suitable risk profile for the client(s):* Yes No

AIM Portfolio Service objective of capital growth has been deemed suitable for the client(s):* Yes No

Time horizon of 5 years plus has been deemed suitable for the client(s):* Yes No

Portfolio cashflows*

Joint

Client 1

Client 2

Initial investment:

£

£

£

Amount within ISA:

£

£

£

Income required:**

£

£

£

Source of investment capital:

(e.g. cash, transfer of existing AIM portfolio)

Note: We can facilitate the payment of adviser charges by deduction from this portfolio. The amount to be deducted will need to be detailed on the application pack and agreed by the client.

Additional notes

(Include any relevant details in support of this request such as: source of wealth and Power of Attorney name etc.)

** In some cases, natural income may be withdrawn from the portfolio, if required. Specific income levels cannot be targeted. AIM dividend income is not guaranteed, can be volatile and should not be relied upon to meet day-to-day living costs. Capital and income withdrawals from the portfolio will likely erode the total investment and may reduce future capital growth potential and income receipts, especially in declining market conditions.