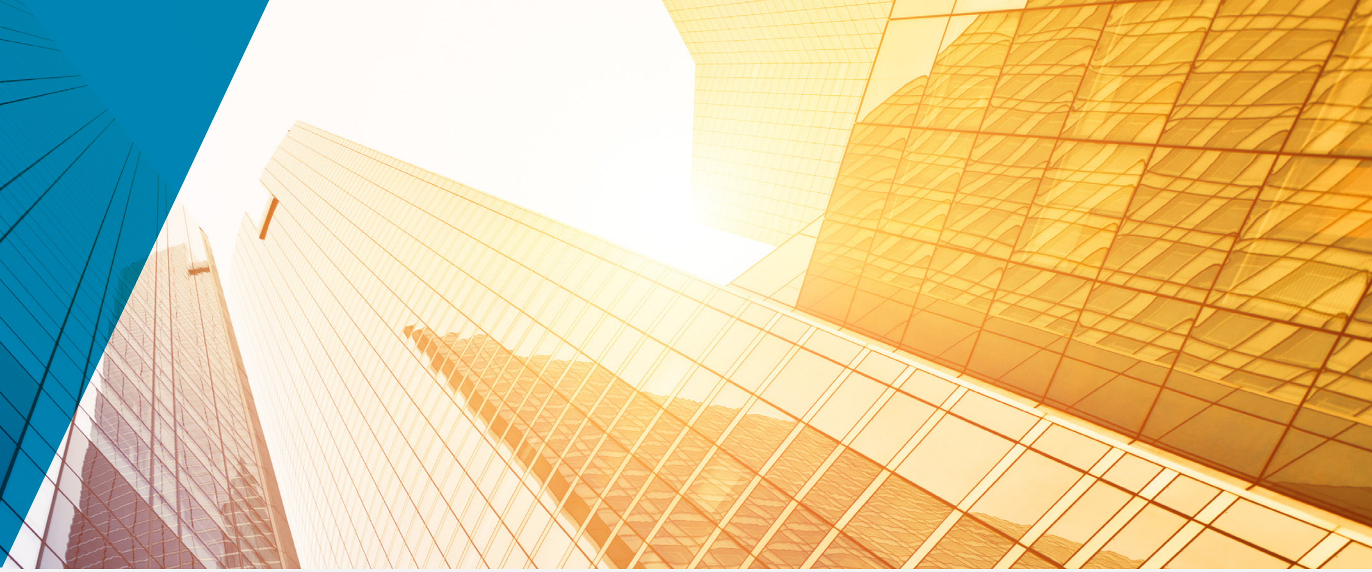
BROOKS MACDONALD

On-boarding process
Investment solutions

For professional advisers only



Brooks Macdonald Investment Solutions, working in partnership with you to understand your client's unique objectives.

1 Consultation

Brooks Macdonald Investment Solutions (BMIS) will partner with you to understand your current challenges as well as your long-term business goals, which will enable us to understand the portfolios characteristics that you require. Our consultation includes understanding:

- Your approach to risk profiling
- Split of clients across risk profiles
- Your preferred route to market
- Cost considerations
- Your views towards belief in active management
- Asset or security exclusions and preferences
- What an ongoing service looks like to you

2 Investment solution proposal

Once we understand your key requirements, we will liaise with our Chief Investment Officer and Investment Management teams to create one or more potential solutions for your review. These will include key information such as asset allocation, security breakdown and cost details. Example co-branded documentation can also be produced to showcase key information.

3

Portfolio launch

Your portfolios will then be available on your chosen platform under your brand. This will also be an opportunity to ask any questions prior to asset transfer. Initial co-branded documentation will be produced for use with end clients.

4

Client transition

We work with you and your operations teams, as well as your chosen platform to manage the movement of assets to ensure a smooth transition to your new portfolios. We will support you through the options of transitioning your clients in bulk, in tranches or on a case by case basis to suit your requirements.

5

Ongoing review

Ongoing client review is at the heart of BMIS, therefore on an ongoing basis, we undertake the following actions on your behalf:

- Regular portfolio rebalancing under our discretionary permissions
- Tactical changes in light of current market conditions
- Security switches
- Volatility monitoring
- Macroeconomic condition monitoring
- Fund and security analysis and due diligence
- Regular attendance at your Investment Committee meetings
- Provide you with direct access to our senior management team

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Contact us

Find out more about how Brooks Macdonald Investment Solutions can support your business.

Please contact:

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Important information

This document is intended for professional advisers only and should not be relied upon by any persons that do not have professional experience in matters relating to investments. Investors should be aware that the price of your investments and the income from them can go down as well as up and that neither is guaranteed.

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